Form 144 Filer Information SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

### 144: Filer Information

Filer CIK 0001733369
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

**Submission Contact Information** 

Name

Phone

E-Mail Address

### 144: Issuer Information

Name of Issuer BioXcel Therapeutics, Inc.

SEC File Number 001-38410

555 LONG WHARF DRIVE

Address of Issuer

NEW HAVEN
CONNECTICUT

06511

Phone 203-643-8060

Name of Person for Whose Account the Securities are To Be Sold Mehta Vimal

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

### 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value			Name the Securities Exchange
Common	Merrill Lynch 100 Federal St. 12th Floor Boston MA 02110	6500	167641.02	29159638	05/22/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Tide of the	D-4	Nature of	D f	41.1.	Date	Amount of	Data of	NT-4 of
Title of the	Date you	Acquisition	Person from	this	Donor	Securities	Date of	Nature of
Class	Acquired	1	Whom	a			Payment	Payment *
	-	Transaction	Acquired	Gift?	Acquired	Acquired	·	•

Is

Name of

	Doctricted Stock	BioXcel		Company
Common	05/12/2023 Restricted Stock	Therapeutics.	6500	05/12/2023 Company
	Units	Inc		Compensation

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Securities Sale Sold	<b>Gross Proceeds</b>
Vimal Mehta 555 Long Wharf Dr New Haven CT 06511	Bioxcel Therapeutics, Inc	03/20/2023 30000	549457.29
Vimal Mehta 555 Long Wharf Dr. New Haven CT 06511	BioXcel Therapeutics, Inc.	03/20/2023 4500	82368.85
Vimal Mehta 555 Long Wharf Dr. New Haven CT 06511	BioXcel Therapeutics, Inc.	03/21/2023 30000	591367.74

# 144: Remarks and Signature

Remarks

Date of Notice 05/22/2023

Date of Plan Adoption or Giving of Instruction, If Relying on Rule 10b5-1 08/31/2022

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Vimal Mehta

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)